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# NOTICE OF ALLOWANCE AND FEE(S) DUE

84704 7590 07/22/2010

Thomas J. Perkowski, Esq., P. C. 22 Thorndal Circle Darien, CT 06820 EXAMINER
CARLSON, JEFFREY D

PAPER NUMBER

3622 DATE MAILED: 07/22/2010

 APPLICATION NO.
 FILING DATE
 FIRST NAMED INVENTOR
 ATTORNEY DOCKET NO.
 CONFRMATION NO.

 09/695,744
 10/24/2000
 Thomas J. Perkowski
 100-04GUSA000
 2224

TITLE OF INVENTION: WEB-BASED ELECTRONIC COMMERCE (EC) ENABLED SHOPPING NETWORK CONFIGURED TO ALLOW MEMBERS OF A CONSUMER PRODUCT MANAGEMENT TEAM AND AUTHORIZED PARTIES TO COMMUNICATE DIRECTLY WITH CONSUMERS SHOPPING AT EC-ENABLED WEBSITES ALONG THE WORLD WIDE WEB (WWW), USING MULTI-M

APPLN, TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$0	\$0	\$755	10/22/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

# Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

INSTRUCTIONS: This for appropriate. All further co- indicated unless corrected maintenance fee notification	orm should be used for orrespondence including below or directed others.	or tran	smitting the ISSU Patent, advance or in Block I, by (a						
CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)				F	Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.				
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Thomas J. Perkowski , Esq., P. C. 22 Thorndal Circle Darien, CT 06820					Certificate of Mailing or Transmission  I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.				
				Г					(Depositor's name)
									(Signature)
									(Date)
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09/695,744	10/24/2000			Thomas J. Perkowski		1	100-046USA000 2224		
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EXAMIN	VER		ART UNIT	CLASS-SUBCLASS	7				
CARLSON, JE	EFFREY D		3622	705-014000	_				
1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).  Change of correspondence address (or Change of Correspondence Address from PTO/SB/122) attached.  The Address' indication (or "Fee Address' Indication form PTO/SB/12, Rev 0.3-02 or more recent) attached. Use of a Customer Number is required.  ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON PLEASE NOTE: Unless an assignee is identified below, no assignee.			2. For printing on the patent front page, list (1) the names or up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm thaving as a member a registered attorney or agent) and the names of up to 2 registered attorney or agent) and the names of up to 3 11EP ATTENT (print or type) (1) the printing of the printin						
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4a. The following fee(s) are submitted:    Issue Fee   Publication Fee (No small entity discount permitted)   Advance Order - # of Copies			o. Payment of Fee(s): (P	l. ard. Form PTO-203	8 is att	ached. required fee(s), any do	ficiency		
5. Change in Entity Statu  a. Applicant claims	SMALL ENTITY statu	ıs. See	37 CFR 1.27.	☐ b. Applicant is no l					
NOTE: The Issue Fee and interest as shown by the re-	Publication Fee (if requering of the United Sta	uired) v tes Pat	will not be accepted ent and Trademark	I from anyone other tha Office.	n the applicant; a reg	istered	attorney or agent; or t	ne assign	ee or other party in
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PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010. OMB 0651-0033

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Thomas J. Perkowski , Esq., P. C.			CARLSON, JEFFREY D		
22 Thorndal Circle Darien, CT 06820			ART UNIT PAPER NUI		
			3622		

#### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 964 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 964 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

# Notice of Allowability

Application No.	Applicant(s)
09/695,744	PERKOWSKI, THOMAS J.
Examiner	Art Unit
Jeffrey D. Carlson	3622

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

- This communication is responsive to papers filed 5/10/2010.
- The allowed claim(s) is/are 478-484, 488-510.
- Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - b) ☐ Some\* c) ☐ None of the: a)  $\square$  All
    - Certified copies of the priority documents have been received.
    - 2. Certified copies of the priority documents have been received in Application No. \_\_\_
    - 3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
  - \* Certified copies not received:

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

- A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
- CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
  - (a) Including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
    - 1) hereto or 2) to Paper No./Mail Date (b) I including changes required by the attached Examiner's Amendment / Comment or in the Office action of

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).

6. 

DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

#### Attachment(s)

1. Notice of References Cited (PTO-892)

Paper No./Mail Date

- Notice of Draftperson's Patent Drawing Review (PTO-948)
- Information Disclosure Statements (PTO/SB/08). Paper No./Mail Date
- 4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material

- 5. Notice of Informal Patent Application
- Interview Summary (PTO-413). Paper No./Mail Date 7. X Examiner's Amendment/Comment
- 8. X Examiner's Statement of Reasons for Allowance
- 9. ☐ Other

/Jeffrey D. Carlson/

Primary Examiner, Art Unit 3622

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#### EXAMINER'S AMENDMENT

An examiner's amendment to the record appears below. Should the changes
and/or additions be unacceptable to applicant, an amendment may be filed as provided
by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be
submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Thomas Perkowski on 7/16/2010.

The application has been amended as follows:

Claim 502 (currently amended): The Web-based EC-enabled shopping network of Claim 499 499, wherein said CPI link structure further comprises, for each said consumer product.

- (iii) a Trademark (TM) assigned to said consumer product;
- (iv) a Product Descriptor (PD) associated with said consumer product; and
- (v) a set of display attributes associated with each CPI resource in said CPI link structure.

### Allowable Subject Matter

- Claims 478-484, 488-510 are allowed.
- 4. The following is an examiner's statement of reasons for allowance:

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Art Unit: 3622

Stern (US6591247) and Durst, Jr. et al (US6542933) represent the closest prior art. Of particular note, Stern teaches networked, in-store kiosks that deliver product information and advertisements when UPC-indexed product codes are scanned by users, as well as a central server to deliver such content. Of particular note, Durst, Jr. et al teaches a consumer scanning a barcode (UPC) at a kiosk in order to request delivery of more information on that identified/indexed product. However, neither nor the combination teach the details of applicant's independent claims 478, 490 or 499, which each require at least the features of:

- E-commerce servers with HTML pages of consumer products
- the first subsystem, allowing creation and management of CPI link structures (e.g. URLs)
- second subsystem allowing creation and deployment of MMVKs which include a server side component and a MMVK tag that references the component and is embedded in an HTML page
- a GUI of independently programmable display modes including a plurality from: advertising display mode, promotional display mode and brand information network (BIN) menu display mode
- a plurality of servers storing the mode content subsystem that allows independent programming of the set of brand information resources displayable during the BIN menu display mode
- third subsystem allowing independent programming of the advertising and promotion modes

- a browser to process the embedded MMVK tag
- server to automatically execute the server side component
- server configured to invite and allow consumer review and interaction with the advertising, promotions and brand information resources displayed within the GUI of the MMVK

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jeffrey D. Carlson whose telephone number is 571-272-6716. The examiner can normally be reached on Monday-Fridays; off alternate Fridays.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Eric Stamber can be reached on (571)272-6724. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 09/695,744

Art Unit: 3622

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Jeffrey D. Carlson/ Primary Examiner, Art Unit 3622 Jeffrey D. Carlson Primary Examiner Art Unit 3622

jdc